

Algebraic Presentation of Semifree Monads

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Motivation

Distributive laws
of T over M

Beck [2]
 \longleftrightarrow

Liftings \tilde{T} on
 M -algebras

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Theorem

Let (M, η, μ) be a monad on Set . If (Σ, E) is a concrete algebraic presentation of (M, η, μ) then (Σ^s, E^s) is a concrete algebraic presentation of the semifree monad (M^s, η^s, μ^s) .

- Monads and distributive laws
- Algebraic Theories
- Presentations of monads by algebraic theories
- The *semifree monad* M^s on a monad M
- The general presentation of M^s
- Examples

Monads

A *monad* [9] is an endofunctor $M : \mathbf{C} \rightarrow \mathbf{C}$ with a *unit* $\eta_X : X \rightarrow MX$ and a *multiplication* $\mu_X : MMX \rightarrow X$

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$$\begin{array}{ccc} M & \xrightarrow{M\eta} & M^2 \\ \eta M \downarrow & \searrow & \downarrow \mu \\ M^2 & \xrightarrow{\mu} & M \end{array}$$

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Examples in the category Set:

- The *maybe* monad $X \mapsto X + 1$. The η is the left injection $X \rightarrow X + 1$ and the $\mu_X : (X + 1) + 1 \rightarrow X + 1$ combines both “+1”.

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- The *list* monad L with $[-] : X \rightarrow LX$ and $\text{concat.} : LLX \rightarrow LX$.

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- The *distribution* monad \mathcal{D} with $\text{dirac } \delta_X : X \rightarrow \mathcal{D}X$ and $\text{flattening flat} : \mathcal{D}\mathcal{D}X \rightarrow \mathcal{D}X$.

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$$\begin{array}{ccc} X & \xrightarrow{\eta_X} & MX \\ & \searrow & \downarrow \alpha \\ & & X \end{array} \quad (1)$$

$$\begin{array}{ccc} M^2X & \xrightarrow{\mu_X} & MX \\ M\alpha \downarrow & & \downarrow \alpha \\ MX & \xrightarrow{\alpha} & X \end{array} \quad (2)$$

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Together with the appropriate notion of morphism, they form the category $\mathbf{EM}(M)$.

M-semialgebras are only required to satisfy (2) and form the category $\mathbf{EM}_s(M)$ (Garner,[3]).

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Given two monads T and M , a *distributive law* [2] of T over M is a natural transformation $\lambda : MT \Rightarrow TM$ rendering (3)-(6) commutative.

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 MT & \xrightarrow{\lambda} & TM
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$$\begin{array}{ccc}
 & M & \\
 M\eta^T \swarrow & & \searrow \eta^T_M \\
 MT & \xrightarrow{\lambda} & TM
 \end{array} \quad (4)$$

$$\begin{array}{ccccc}
 MMT & \xrightarrow{M\lambda} & MTM & \xrightarrow{\lambda M} & TMM \\
 \mu^M_T \downarrow & & & & \downarrow T\mu^M \\
 MT & \xrightarrow{\lambda} & & & TM
 \end{array} \quad (5)$$

$$\begin{array}{ccccc}
 MTT & \xrightarrow{\lambda T} & MTM & \xrightarrow{T\lambda} & TMM \\
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Lemma:(Beck,[2]) There is a bijective correspondence between distributive laws $\lambda : MT \Rightarrow TM$ and monad structures on TM .

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A *weak distributive law* is only required to satisfy (4)-(6).

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Algebraic theories

An *algebraic theory* [10] is a pair (Σ, E) , where

- Σ is a set of operations symbols f, g, \dots each with an arity $n \in \mathbb{N}$.
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- Theory of *convex sets* $\Sigma := \{+_p : 2 \mid p \in (0, 1)\}$,

$$E := \left\{ \begin{array}{l} v +_p v = v, \\ u +_p v = v +_{1-p} u, \\ (u +_q v) +_p w = u +_{pq} (v +_{p(1-q)/1-pq} w) \end{array} \right\}.$$

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Together with the appropriate notion of morphism, they form the category $\mathbf{Alg}(\Sigma, E)$.

An algebraic theory (Σ, E) is an *algebraic presentation* of a monad M if their categories of algebras are isomorphic: $\mathbf{EM}(M) \cong \mathbf{Alg}(\Sigma, E)$.

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Examples:

- The theory of pointed sets presents the maybe monad, i.e., an algebra $X + 1 \rightarrow X$ corresponds to an algebra $(X, [\bullet] \in X)$.
- The theory of monoids presents the list monad. [1, Ex. 10.7]
- The theory of convex sets presents the distribution monad. [6]

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Its definition is as follows:

$$M^s := \text{Id}_C + M,$$

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Question: Infer a presentation of M^s from a presentation of M ?

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- This idempotent will be the interpretation of ($a : 1$).

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Theorem

Let (M, η, μ) be a monad on Set . If (Σ, E) is a concrete algebraic presentation of (M, η, μ) then (Σ^s, E^s) is a concrete algebraic presentation of the semifree monad (M^s, η^s, μ^s) .

Since $\mathbf{EM}(M^s) \cong \mathbf{EM}_s(M)$, it suffices to prove $\mathbf{EM}_s(M) \cong \mathbf{Alg}(\Sigma^s, E^s)$.

Sketch of proof

Since $\mathbf{EM}(M^s) \cong \mathbf{EM}_s(M)$, it suffices to prove $\mathbf{EM}_s(M) \cong \mathbf{Alg}(\Sigma^s, E^s)$. We will define two functors and prove they are inverses:

$$G : \mathbf{EM}_s(M) \rightleftarrows \mathbf{Alg}(\Sigma^s, E^s) : H.$$

- Define G .
- Define H .
- Prove G and H are inverses.

Let

$$\left\{ \begin{array}{l} G : \mathbf{EM}_s(M) \rightarrow \mathbf{Alg}(\Sigma^s, E^s) \\ G(X, \alpha) := (X, \langle \cdot \rangle) \\ G(f) := f \end{array} \right.$$

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where the interpretation $\langle \cdot \rangle$ is

$$\begin{aligned} \langle a \rangle &:= \left(X \xrightarrow{\eta_X} MX \xrightarrow{\alpha} X \right), \\ \langle \text{op} \rangle &:= \left(X^n \xrightarrow{(\eta_X)^n} (MX)^n \quad MX \xrightarrow{\alpha} X \right), \end{aligned}$$

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Lemma: G maps homomorphisms to homomorphisms.

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Defining $\alpha : MX \rightarrow X$ directly is difficult since MX is arbitrary. To solve this we use an isomorphism between free objects:

$$(\mathcal{T}_\Sigma(X)/E, \llbracket \cdot \rrbracket) \begin{array}{c} \xrightarrow{h} \\ \xleftarrow{h^{-1}} \end{array} (MX, \llbracket \cdot \rrbracket^{MX}).$$

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The theorem is proved!



Examples

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Indeed, the following rules generalise to terms of depth ≥ 1 :

$$a(\text{op}(v_1, \dots, v_n)) = \text{op}(v_1, \dots, v_n) = \text{op}(av_1, \dots, av_n).$$

Conclusion

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Related results:

- $M(- + 1)$ is a monad. Its presentation is the one of M with an additional constant and no additional equations.
- Suppose TM is a monad because of a distr. law $\lambda : MT \Rightarrow TM$. Zwart and Marsden give a concrete presentation of TM using λ and concrete presentations of T and M in

Maaïke Zwart and Dan Marsden. “No-Go Theorems for Distributive Laws”. In: *34th Symposium on Logic in Computer Science (LICS)*. 2019.

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- Infer presentations in other categories from presentations in Set .
- Obtain no-go theorems for weak distributive laws, analogous to Zwart and Marsden's work. (New no-go theorems are needed, because existing ones require reduction to variables)

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Thank you for your attention !